



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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First Named  
Inventor : Philipp H. Schmid et al.

Appln. No. : 09/751,836

Filed : December 29, 2000

For : MIDDLEWARE LAYER BETWEEN  
SPEECH RELATED APPLICATIONS  
AND ENGINES

Docket No.: M61.12-0323

Technology Center 2600

Group Art Unit: 2655

Examiner: Matthew J.  
Sked

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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DEC 13 2004

Sir:

**TC 1700**

*3rd* DAY OF November, 2004

*Christopher L. Noller*

PATENT ATTORNEY

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of foreign references or "other art" references are enclosed.

**TIME OF FILING**

The information disclosure statement is being filed:

1.        with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

2.   X   after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

A.        a statement as specified in 37 C.F.R. § 1.97(e).

B.   X   the fee set forth in 37 C.F.R. § 1.17(p) for

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160.00 DP

submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3.  after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

- A. a statement as specified in 37 C.F.R. § 1.97(e);
- B. the petition fee set forth in 37 C.F.R. § 1.17(p).

**STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

       No fee is required.  
X       Attached is a check in the amount of \$180.00.

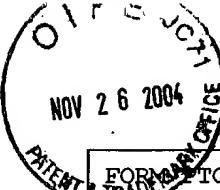
The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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Minneapolis, Minnesota 55402-3319  
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CLH/rkp



LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Atty. Docket No.: M61.12-0323	Appl. No.: 09/751,836
<b>RECEIVED</b> DEC 13 2004 TC 1700		First Named Inventor Philipp H. Schmid	<b>RECEIVED</b> DEC 01 2004 Technology Center 2600
		Filing Date December 29, 2000	Group Art: 2655

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,064,959	5/2000	Young et al.	704	251	
AB	5,995,928	11/1999	Nguyen et al.	704	251	
AC	6,314,399	11/2001	Deligne et al.	704	257	
AD	5,865,626	2/1999	Beattie et al.	434	185	
AE	2002/01382 65	9/2002	Stevens et al.	704	251	
AF	6,374,226	04/2002	Hunt et al.	704	275	
AG	5,991,720	11/1999	Galler et al.	704	256	
AH	6,535,886	03/2003	Koontz, Eugene	707	102	
AI	6,456,974	09/2002	Baker et al.	704	270.1	
AJ	5,621,859	04/1997	Schwartz et al.	704	256	
AK	6,138,098	10/2000	Shieber et al.	704	257	

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes      No
	AL						
	AM						
	AN						

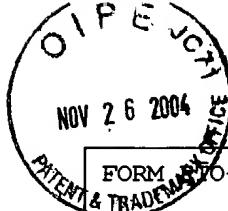
## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Hataoka et al. "Sophisticated Speech Processing Middleware on Microprocessor", 1999 IEEE 3 <sup>rd</sup> Workshop on multimedia signal processing: Copenhagen, 13-15 September 1999.
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 2 of 2

FORM 10-1449  
PATENT & TRADEMARK OFFICE

Atty. Docket No.:  
M61.12-0323

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First Named Inventor

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Philipp H. Schmitz  
Technology Center 2600

Filing Date Group Art:

December 29, 2000 2655

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,487,533	11/2002	Hyde-Thompson et al.	704	260	
AB	6,466,909	10/2002	Didcock, Cliff	704	260	
AC	6,513,009	01/2003	Comerford et al.	704	270	
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AE	6,456,974	09/2002	Baker et al.	704	270.1	
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AG	5,799,273	08/1998	Mitchell et al.	704	235	
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AJ	6,526,381	02/2003	Wilson, Andrew T.	704	251	
AK	6,377,913	04/2002	Coffman et al.	704	8	

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	AL						
	AM						
	AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
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